



PATENT
Attorney Docket No.: 27110.012300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James BURCHETTA et al.	Confirmation No.: 2990
Serial No.: 10/683,821	Art Unit: 3624
Filing Date: October 10, 2003	Examiner: Kelly Scaggs Campen
Title: COMPUTERIZED TRANSACTION BARGAINING SYSTEM AND METHOD	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)(3)

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Form PTO-1449 pursuant to 37 C.F.R. § 1.56. Applicants respectfully request that the documents referenced therein be considered and made of record in the subject application, and that an initialed copy of Form PTO-1449 be returned pursuant to M.P.E.P. § 609. Pursuant to 37 C.F.R. 1.98(d), copies are not enclosed of the references that are already of record in related application nos. 09/130,154 (filed August 6, 1998 and issued December 11, 2001 as U.S. Pat. No. 6,330,551); 09/370,394 (filed August 6, 1999 and issued October 11, 2005 as U.S. Pat. No. 6,954,741); and 10/638,819 (filed October 10, 2003). Six cited non-patent references are enclosed herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action after Request for Continued Examination, and thus no fee is due under 37 C.F.R. 97(b)(4).

The Commissioner is authorized to charge any fee deficiency associated with this filing, or credit any overpayment, to Deposit Account No. 50-1561.

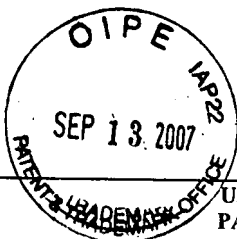
Respectfully submitted,

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Registration No. 33,936

Dated: October 16, 2006

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REK:prm



PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DKT. NO. 27110.012300		SERIAL NO. 10/683,821	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				APPLICANT James BURCHETTA et al.		EXAMINER: Kelly Scaggs Campen	
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U.S. PATENT DOCUMENTS							
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	AA	3,573,747	4/1971	Adams et al.	705	37	
	AB	3,581,072	5/1971	Nymeyer	705	37	
	AC	5,077,665	12/1991	Silverman et al.	705	37	
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	AF	5,668,953	9/1997	Sloo	705	1	
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	AO	6,112,181	8/2000	Shear et al.	705	1	
	AP	6,112,189	8/2000	Richard et al.	705	37	
	AQ	6,131,087	10/2000	Luke et al.	705	26	
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	AS	WO 97/04410 A1	2/1997	WIPO			
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	AU						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AV	Anon., "Sybase Adds Web-based Technical Support to its Customer Service," Presswire, Mar. 29, 1996.					
	AW	Zeng, D.-Z. et al., "Double-offer Arbitration," Mathematical Social Sciences, vol. 31, No. 3, pp. 147-170, Jun. 1996.					
	AX	Mandell, J., "Cyberspace Conflicts?" Software Magazine, vol. 18, No. 4, p. 20, Mar. 1998.					
	AY	Abstract from New York Times editorial, Tuesday, Jan. 8, 1985.					
	AZ	Hines, Bernard L., "Arbitration Spells Relief. (insurance settlements)," Best's Review--Property-Casualty Insurance Edition, vol. 86, p. 47, Jan. 1986.					
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	BB	6,243,691 B1	6/2001	Fisher et al.	705	37		
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	BE	6,366,925 B1	4/2002	Meltzer et al.	705	1		
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	BH	2001-0039527 A1	11/2001	Ordish et al.	705	37		
	BI	2002-0007362 A1	1/2002	Collins et al.	707	5		
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	BK							
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							Yes	No
	BQ							
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	BS	Jackson, William, "Mediation Proposed for Securities Disputes," Business First-Columbus, vol. 9, No. 31, p. 4, Apr. 5, 1993.						
	BT	Skrzycki, Cindy, "The Regulators: An Electric Negotiation -- Modern Times: OSHA to Try Writing Rules in Cyberspace," The Washington Post, Financial Section, p. D1, Feb. 8, 1994.						
	BU	Stephenson, Max, "Rescuing ADR from its Advances", Public Administration Review, vol. 55, No. 4, pp. 385-388, Jul./Aug. 1995.						
	BV	Grob, K., and Schecter, P.L., "Discovering Opportunities in Alternative Dispute Resolution: A Step-by-Step Guide for Getting Involved," Outlook, vol. 62, No. 4, p. 18, Winter 1995.						
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	BX	Robbins, Stuart, "Lowering the Cost of Doing Business through ADR," Distribution, vol. 96, No. 11, pp. 58-59, Oct. 1997.						
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	CO	Mandell, Janette, "Cyberspace Conflicts," Software Magazine, vol. 18, No. 4, p. 20, Mar. 1998.					
	CP	Negussie, Mel, "How to Select a Mediator," Defense Counsel Journal, vol. 65, No. 2, pp. 256-261, Apr. 1998.					
	CQ	Mullins, R., "Mediation, Arbitration Venues Offer Corporate Litigants Justice-in-Time," Business Journal-Milwaukee, vol. 9, No. 39, p. S6, Jul. 4, 1992.					
	CR	Schweber, Claudine, "Your Telephone May Be a Party Line: Mediation by Telephone," Mediation Quarterly, vol. 7, No. 2, pp. 191-195, Winter 1989.					
	CS	Schneider Denenberg, Tia, "The Electronic Arbitrator," The Arbitration Journal, vol. 45, No. 1, pp. 48-52, Mar. 1990.					
	CT	Arnold et al., "Patent Alternative Dispute Resolution Handbook," 1991.					
	CU	Brams, Steven J. and Merrill III, Samuel, "Arbitration Procedures with the Possibility of Compromise," Control and Cybernetics, vol. 21, No. 1, pp. 131-149, 1991.					
	CV	Thiessen, Ernest and Loucks, Daniel, "Computer Assisted Negotiation Of Multi-Objective Water Resources Conflicts," Water Resources Bulletin, American Water Resources Association, vol. 28, No. 1, pp. 163-177, Feb. 1992					
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	DO	Hill, Richard, "Will Cyberspace Use Cybercourts?," International Commercial Litigation, Issue 23, pp. 33-35, Oct. 1997.					
	DP	Neal Yeend, Nancy, "Electronic Alternative Dispute Resolution System Design," Mediation Quarterly, vol. 11, No. 2, pp. 193-194, Winter 1993.					
	DQ	Shaw, et al., "Using Alternative Dispute Resolution In The Federal Government," 1993.					
	DR	Macduff, Ian, "Flames on the Wires: Mediating from an Electronic Cottage," Negotiation Journal, vol. 10, No. 1, pp. 5-15, Jan. 1994.					
	DS	Helie, John, "Conflict and Conflict Resolution on Electronic Networks", pp. 1-6, Jun. 1994.					
	DT	SchWeber, Claudine, "The Use of Technology in Conflict Resolution," paper presented at the European Conference on Peacemaking and Conflict Resolution, Oct. 1994, San Sebastian, Spain.					
	DU	Brams, Steven and Taylor, Alan, "Fair Division: From Cake-cutting to Dispute Resolution," published by Clark Boardman Callaghan, 1996.					
	DV	Levin, Amanda, "Online Claim Settlement Services Hit The Net," The National Underwriter Company National Underwriter, Claims Services Review Section, Nov. 9, 1998.					
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	EO	The International Dictionary of Applied Mathematics, Van Nostrand, Princeton, 1960, p. 593.					
	EP	Zeng, D.-Z. et al., "Intrinsic Gap and Final-Double-Offer Arbitration," in IFAC Large Scale Systems (conference proceedings), 1992.					
	EQ	Online Ombuds Office Web site, http://aaron.sbs.umass.edu/center/ombuds/default.htm and description.html, Apr. 14, 2000.					
	ER	Yeened, N. N. "Electronics Alternative Dispute Resolution System Design," Mediation Quarterly, vol. 11, No. 2, pp. 193-194. Winter 1993					
	ES	Colen, F.H., "Proactive Patent Protection," High Technology Business, vol. 9, No. 8, p. 14, Sept.-Oct. 1989.					
	ET	Low, "Mediation vs. Litigation: How You Can Cut Costs," Texas Banking, vol. 80, No. 5, pp. 8-9, May 1991.					
	EU	Anon., "Title IV--Judicial Reforms," Health Legislation and Regulation, vol. 20, No. 3, Jan. 19, 1994.					
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	FO	Anon., "Pru Settlement Stalls State ADR Plan," Insurance Regulator, vol. 8, No. 37, p. 1, Sep. 30, 1996.					
	FP	Anon., "Pharmacists' Antitrust Class-Action Lawsuit Opens," Marketletter, Oct. 5, 1998.					
	FQ	Ferling, R. L., et al. "New Plans, New Policies," Best's Review - Life-Health Insurance Edition, vol. 92, No. 8, p. 85, Dec. 1991.					
	FR	Lewthwaite, G.A., "Paying for Years of Pain," Baltimore Morning Sun, Final Edition, page 2A, Apr. 22, 1997.					
	FS	Attrino, "P-C Agents Issue Checkbook Claims," National Underwriter, vol. 102, No. 29, pp. 15, 18, July 20, 1998.					
	FT	Anon., "Consultation on Periodical Payments for Future Loss," M2 Presswire, Mar. 13, 2002.					
	FU	Brandenburger, A. and Nalebuff, B., "Web pages from Co-opetition Interactive, Settlement Escrows, A Negotiation Tool, www.mayet.som.yale.edu/coopetition , and corresponding pages from the Internet Archive at http://www.archive.org/ , May 4, 1997.					
	FV	Anon., "13 Alternatives to High Cost Litig. 147," Westlaw, Nov. 1995; 1995 CPR Institute for Dispute Resolution f/k/a Center for Public Resources/CPR Legal Program.					
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	GK						
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	GO	Dauer, et al., "Manual of Dispute Resolution, ADR Law and Practice," Vol. 1, Ch. 1-13, Shepard's/McGraw-Hill, Inc. May 1994.					
	GP	Grenig, Jay E., "West's® Legal Forms, Vol. 26, Alternative Dispute Resolution," St. Paul, Minn. West Publishing Co. 1995.					
	GQ	Grenig, Jay E., "Alternative Dispute Resolution With Forms," 2d Ed., St. Paul, Minn. West Publishing Co. 1997.					
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